



ICUT TITLE IX TRAINING

Presented by Jody Shipper * Grand River Solutions
And
Martha Compton * Grand River Solutions
Via Zoom

Independent Colleges and Universities of Texas, Inc. (ICUT) is hosting four, 4-hour Title IX workshops with two Title IX experts from Grand River Solutions (Jody Shipper and Martha Compton). Their bios follow the agenda.

From One Title IX Coordinator to Another: A Practical Approach to Navigating BeyondCompliance in the Post-Regulatory World

July 7, 2021, 1:00 – 5:00 PM CDT (2-15 minute breaks)

- The Title IX timeline: An overview of the evolution of Title IX and other applicablelaws and regulations;
- The role of the Title IX Coordinator;
- Building a foundation for success through collaborative partnerships, effective communications, and comprehensive policies and procedures;
- Developing an all-encompassing plan for compliance;
- Effective approaches and procedures for responding to reports;
- Education and prevention strategies.

Title IX and Equity Investigations

July 13, 2021, 1:00 – 5:00 PM CDT (2-15 minute breaks)

- The Regulations' definition of sexual harassment;
- The scope of the school's "education program or activity" as defined by the Regulations;
- Proper investigation processes;
- How to serve impartially, including by avoiding prejudgment of the facts at issue, conflicts of interest, and bias;
- Developing an investigative strategy;
- Party and witness interviews, evidence identification, collection, and analysis;
- Understanding issues of relevance and other evidentiary concerns;
- How to create an investigative report that fairly summarizes relevant evidence.





Introduction to the Title IX Hearing

July 21, 2021, 8:30 AM – 1:30 PM CDT (2-15 minute breaks & 1, 30 minute break)

- The purpose of a hearing;
- Incorporating a trauma-informed approach within a due process framework;
- Hearing preparation;
- Setting party expectations;
- The roles of a hearing coordinator, hearing panel, and hearing chair;
- The role of the advisor, and efficiently working with them;
- Hearing day: managing the room;
- What process is due;
- Live examining and cross-examining;
- Technical aspects of a hearing;
- Writing the decision/outcome letter.

Decision-Makers: A Deep Dive into Serving in this Critical Role

July 28, 2021, 1:00 – 5:00 PM CDT (2-15 minute breaks)

- Decorum and managing the process;
- Breaking down the policy into elements;
- Developing questions for parties and witnesses;
- Cross examination: relevancy determinations and articulation of rulings;
- Evidentiary considerations and evidence analysis.



Jody L. Shipper

Jody@grandriversolutions.com

Relevant Work Experience

Grand River Solutions, Saratoga, CA Co-Founder and Managing Director 2018 - present

Provide consulting services, investigation and adjudication support, conduct investigations, training, conduct compliance reviews and audits. Practice is focused on Title IX, Title VI, and Title VII-related equity issues, as well as practices relating to the Clery Act and Violence Against Women Act. Develop policies and procedures specific to each institution designed to meet the prevention, response, investigation and adjudication needs of the institution, bringing into balance each institution's unique values, mission and culture. Identify strengths and limitations of current institutional programming, policy and processes, while assisting in the development and creation of compliance plans, programming and policy designed to both meet and exceed regulatory demands and campus community expectations and needs.

Project IX, Napa, CA
Co-Founder/Principal

2014 - 2018

Co-founded "Project IX," a non-profit corporation, focusing on providing comprehensive services for smaller or under-resourced secondary and post-secondary institutions in crafting policies and procedures designed to meet the specific institutional requirements of: Title IX, VAWA, Clery Act and SVSH regulations. Conduct audits and compliance reviews of k-12 schools, colleges, and universities.

University of California, Office of the President, Oakland, CA Systemwide Director Title IX/VAWA/Clery/Sexual Violence, Sexual Assault 2015 - 2016

Ensured compliance with Title IX, VAWA, Clery Act, SVSH policies and procedures, and other related federal regulations. Responsible for directing and facilitating Title IX, Clery Act and VAWA compliance for the UC and all of its affiliated campuses. Oversaw systemwide compliance reviews and evaluations, conducted active monitoring of campus processes and effectiveness, including on-site reviews to ensure consistent institutional responses. Assisted campuses with implementation of new policies and procedures. Provided advice and guidance to the Office of the President and campuses as needed. Coordinated training and policy implementation trainings.



University of Southern California, Los Angeles, CA Executive Director of the Office of Equity and Diversity

2004 - 2015

Developed the university's first comprehensive investigations office, created and implemented protocols to coordinate and integrate the work of the Office of Equity and Diversity, Student Conduct, Human Resources, and Faculty Affairs. In 2012, created first campus Title IX office. Served as Chief Diversity Officer, Affirmative Action Director. Provided leadership, support and advice on issues of the prevention of, and response to, prohibited discrimination and harassment, including sexual assault. Created and designed the first campus-wide program to address issues involving the university's interaction with minors on campus. Advised on Clery Act compliance. Created new campus-wide Threat Assessment Team and implemented new threat assessment training and management procedures.

Cooper, White & Cooper, LLP, San Francisco, CA Senior Employment Law Associate 2003 -2004 and 1999-2001

Counseled employers, including multiple universities, on all aspects of employment and higher education law including: Title IX, proper procedures for investigating employee complaints, hiring practices, best practices, employment policies, wage and hour regulations, leaves of absence, Americans with Disabilities Act, workers' compensation, wrongful termination, and compliance with local, state and federal employment laws. Frequently conducted investigations on behalf of clients. Advised employers on crafting policies to reflect strategic vision and create strong alignment between policies, procedures, and mission statements and goals. Represented employers in all phases of state and federal litigation before state and federal administrative agencies.

Walden House, Inc., San Francisco, CA 2001 – 2003 \$50 million dollar non-profit clinical and residential addiction treatment agency General Counsel

s. Supervised
aff on corporate,

Oversaw all employment law matters, and executive-level personnel matters. Supervised human resources department. Advised Board of Directors and executive staff on corporate, employment and labor law, development and implementation of employment policies, risk management, and best business practices. Chief investigator for all claims brought by employees, clients, patients, students, teachers, contracting agencies, government oversight agencies (DFEH, EEOC, California Attorney General) including claims of discrimination, harassment, allegations of inappropriate conduct by treatment providers, and all other claims of misconduct Represented agency in legal proceedings involving employees, clients or other third parties, including state court and administrative hearings



Littler Mendelson, San Francisco, CA Associate and Summer Associate 1995 - 1999

Represented employers in all aspects of employment law, including state and federal court and administrative hearings. Successfully argued motions in state and federal trial courts. Argued before the Ninth Circuit Court of Appeals. Handled *pro bono* cases assigned directly by Ninth Circuit Court of Appeals.

 Drafted successful brief and served on litigation team for California Supreme Court appeal in matter of <u>Cotran v. Rollins Hudig Hall</u>, which set the standard for workplace investigations in California

Expert Witness Services

Videckis v. Pepperdine University, *Aug 2017* U.S. District Court, Los Angeles Testified on behalf of defendant Pepperdine University

McLeod v. Duke University, *Dec 2017*Deposed, case settled prior to start of trial

Consulting Expert, Doe v. State of Arizona

Shank v. Carleton College, 2019 Dismissed on summary judgment

Browning and Baity v. University of Findlay Settled prior to trial

KD v. Omaha Public School District

Mother Doe v. Richland County School Dist. 2

Select Presentations, Webinars & Trainings

NACUA Workshop, Washington, DC Feb 2012

"Title IX Coordinators: Selection, Responsibilities & Training"

United Educators Webinar Jun 2012

"Conducting Student Sexual Assault Investigations" Over 750 participants from risk management and general

counsel offices at UE member institutions.



ATIXA Annual Title IX Conference, Chicago, IL "Title IX Compliance Review"	Jun 2012
"Women Who Lead" luncheon speaker	
NACUA Annual Conference, Chicago, IL "The Role of Attorneys in Threat Assessment"	Jun 2012
University of California Office of the President and Stanford University Special 2-day Training Seminar: advanced interviewing techniques and best practices regarding Title IX investigations and rape and sexual assault ca	Jul 2012 ases
NACUA Virtual Seminar "Conducting Campus Sexual Assault Investigations" Sponsored in connection with American Council on Education (ACE)	Jul 2012 Oct 2012
Biola University Conducted sexual assault training for all faculty and staff	Jun 2012 Aug 2012
NACUA Virtual Seminar "Always Be Prepared: The OFCCP Audit and Enforcement Survival Guide"	Aug 2013
Washington University, St. Louis Conducted University Sexual Assault Investigations Board (USAIB) training	Sep 2013
Texas Higher Education Human Resources Association Conference "OFCCP Audit and Enforcement Guidance"	Jan 2014
School Law and Policy Institute, Stanford, CA Prevention Strategies and Legal Updates on Sexual Misconduct	Jan 2014
NACUA Winter CLE Workshop, Miami, FL Student Sexual Misconduct Hearings: The (Un)due Burden of Due Process	Mar 2014
NACUA Annual Conference, Denver, CO Challenging Title IX Issues? Ask the Experts!	Jun 2014
NACUA Annual Conference, Washington, D.C. Annual conference planning committee Moderator	Jun 2015
NACUA Winter CLE Workshop, Nashville, TN Member, planning committee Moderator: The Special Concerns of Domestic Violence Investigations	Jan 2016



United Educators Webinar Ask a Title IX Expert, Lead Presenter	Mar, June and Sept. 2016
URMIA Annual Conference, San Diego, CA Title IX, VAWA, and Clery: Are you REALLY in Compliance? Lessons Learned and Trends Arising from Claims Brought by Stude	Sep 2016 nts
United Educators' Title IX Now, Washington, D.C. Conducted 2-day training for 120 attendees	Nov 2016
NACUA Webinar Ethical Obligations of Lawyers who Work Inside and Outside of the General Counsel's Office	Dec 2016
NACUA Annual Conference Member, planning committee	Jun 2017
University of Vermont Legal Issues in Higher Education Title IX and Mental Health	Oct 2017
Augustana College Regional Training Speaker and Program Coordinator	July 2017
Carmel Unified School District Training for students, teaching staff, administrators	Feb 2018
Title IX Bootcamp for K12 Investigators Coordinator and Speaker	Apr 2018
Tennessee Title IX Summit Keynote Speaker	Apr 2018
Universidad de Guadalajara Sensibilization y Mejores Practicas Internationals en Material de Equidad y Cultura para la Paz en las Universidades	Aug 2018
University of Vermont Legal Issues in Higher Education Student Conduct and Syllabi	Oct 2018
Alabama College of Osteopathic Medicine Keynote Speaker, Title IX Conference	Nov 2018



National Conference on Law and Higher Education Title IX and Athletics Compliance	Jan 2019
United Educators Webinar, Draft Title IX Proposed Regulations Speaker	Feb 2019
University Risk Management and Insurance Association (URMIA) Speaker, Protecting Your Campus from Sexual Predators	Sep 2019
Grand River Solutions Conference Speaker, Reliability, Credibility, and Demeanor Evidence Speaker, Incels on Campus	Oct 2019
National Conference on Law and Higher Education Keynote Speaker Speaker, Best practices in campus hearings, a practicum	Jan 2020
United Educators Podcast Conducting Remote Investigations	Mar 2020
Grand River Solutions Training Program Investigations and Hearings Under the New Title IX Regulations	Jun 2020
University Risk Management and Insurance Association (URMIA) Speaker, Title IX Circuit Splits & the Impact on Institutions of Higher Education Speaker, Sexual Harassment: It's Not Just Title IX	Sep 2020

Publication

Shipper, J.. Workplace Investigations: The HR Manager's Step-by-Step Guide. Brentwood, California: M. Lee Smith, 2008.

Additional Professional Experience and Associations

ATIXA, Association of Title IX Administrators	2012 - 2014
Advisory Board Member	
California Association of Workplace Investigators (CAOWI, now AWI)	2009 - 2012
Founding board member	
Past Chair, Certification Committee	
Member, Best Practices Committee	



Education

JD, Hastings College of the Law, San Francisco, CA, 1997

AmJur Award, Pre-Trial Practice Dean's Discussion Group Leader, Contracts Managing Editor, Constitutional Law Quarterly Internship, California Supreme Court

BSFS, International Relations, Georgetown University, 1982

Certificate of proficiency, Chinese

Université de Genève, Geneva, Switzerland, 1981 Smith College, Junior Year Abroad Program



MARTHA K. COMPTON

mcompton@grandriversolutions.com

PROFESSIONAL EXPERIENCE

Grand River Solutions - remote

January 2021 – present

Director of Strategic Partnerships and Client Relations

Provide consulting services, investigation and adjudication support, conduct investigations, training, conduct compliance reviews and audits. Practice is focused on Title IX, Title VI, and Title VII-related equity issues, as well as practices relating to the Clery Act and Violence Against Women Act. Develop policies and procedures specific to each institution designed to meet the prevention, response, investigation and adjudication needs of the institution, bringing into balance each institution's unique values, mission and culture. Identify strengths and limitations of current institutional programming, policy and processes, while assisting in the development and creation of compliance plans, programming and policy designed to both meet and exceed regulatory demands and campus community expectations and needs.

<u>Concordia University Texas</u> – Austin, Texas

January 2019 – November 2020

Dean of Students and Deputy Title IX Coordinator

- Advocate for students in all aspects of decision-making at the university
- Provide leadership for key student affairs areas, including student conduct, behavior intervention and support, Title IX, residence life, student activities, counseling, first year and transition programs, and parent and family programs
- Support student success by assisting students in accessing services and resources related to academic success, mental health, wellness, interpersonal relationships, basic needs, finances, and social adjustment
- Chair the university's Behavior Intervention and Support Team, providing direction and oversight to the university's approach to threat assessment and responding to students of concern
- Provide crisis and emergency support, including serving in the after-hours on-call duty rotation
- Serve as the university's chief conduct officer and custodian of conduct records
- Assist with the execution of institutional strategic initiatives associated with strengthening diversity and inclusion, including assisting with the Diversity and Inclusion Campus Climate Response Team
- Provide leadership to the Student Affairs team in absence of the Vice President
- Oversee the development, review, and revision of policies, processes, and protocols related to student life
- Coordinate the Title IX Education and Training Plan while serving on the Title IX Lead



Team and as a Title IX investigator

- Collaborate effectively with other institutional departments, offices, and units as well as community resources
- Assist with overall development and management of Student Affairs budgets

Ohio University - Athens, Ohio

May 2013 – December 2018

Director, Community Standards and Student Responsibility

- Direct the overall administration of the student conduct system, including serving as the university's chief conduct officer and custodian of conduct records
- Provide leadership of the office, including direct supervision of an associate director, two assistant directors, two administrative staff, and two graduate assistants, as well as indirect supervision of 30 hearing officers in Housing & Residence Life
- Generate reports in order to meet compliance requirements (Title IX, Clery, Biennial Report, etc.) as well as to analyze trends in student behavior
- Oversee the recruitment, selection, training, and service of 50 University Hearing Board members
- Manage all aspects of \$412,000 annual operating budget; prepare, submit, and defend budget requests as needed
- Use Maxient and PeopleSoft (amongst other programs) in order to track student data and for case management purposes; Level 5 Maxient user
- Contribute to the overall administration of the division of student affairs as a member of the division's leadership team
- Represent the department and division to internal constituents and stakeholders including students, faculty, staff, university police, and university shared governance
- Represent the university and department to external constituents and stakeholders including parents, community members, city offices, city police, prosecutor's office, and local judges

Major Accomplishments as Director of Community Standards and Student Responsibility:

- Selected to lead the division's Strategic Planning Committee, focused on implementing the division's breakthrough objectives related to equity and social justice
- Completed the first significant revision of the Student Code of Conduct in over
 40 years and established a biennial review process
- Primary author of the institution's current sexual misconduct student resolution process
- Successfully advocated to increase funding by 34% and staffing by 1.5 FTE (33% staffing increase)
- In collaboration with campus partners, created an award-winning educational program combining outdoor education with alcohol intervention.
- Spent 2017-2018 as Interim Assistant Dean of Students, coordinating the
 Division of Student Affairs staff development efforts related to social justice
 development and education; participating in on-call duty and crisis response,



including managing a student death; represented the Dean of Students in her absence, including speaking to over 2,000 new students and their families during Bobcat Student Orientation

The University of Texas at Austin - Austin, Texas

February 2007 – May 2013

Associate Director & Coordinator of Academic Integrity, Student Judicial Services

July 2010 – May 2013

- Provided primary oversight regarding intake, assignment, and adjudication of approximately 300 academic integrity cases per year
- Supervised two student conduct specialists and two graduate assistants
- Assisted associate dean with coordination and completion of department's assessment and risk management plans; participated in the behavioral assessment team as needed
- Oversaw the university's referral, investigation, and resolution process for all student organization misconduct; coordinated and provided leadership and training to the investigation team, consisting of approximately 30 professional staff members from a number of departments across campus
- Served as liaison to the university's large study abroad program, developing
 policies and procedures for conduct issues that occur abroad, conducting annual
 training of faculty, and assisting with risk-management related to student
 participation in programs
- Maintained responsibility for all duties performed in the assistant director role
 Major Accomplishments as Associate Director, Student Judicial Services:
 - Successfully transitioned and integrated the student organization disciplinary process into Student Judicial Services from another operational area.
 - Developed the department's initial set of procedures and protocols specifically related to adjudicating Title IX issues.

Assistant Director, Student Judicial Services

February 2007 – June 2010

- Interpreted and reviewed university rules, regulations, and policies related to student discipline, in accordance with Regent's Rules
- Managed a personal caseload of approximately 200 student conduct cases per year, including the full range of offenses covered by the *Institutional Rules on* Student Services and Activities and resolved cases with sanctions ranging up to an including expulsion
- Served as member of emergency duty team and assisted in various student emergencies, such as medical and mental health situations, natural disasters, and student death
- Served as liaison for university faculty and staff on approach, resolution, and process-related matters pertaining to student discipline; conducted trainings on academic integrity and the discipline process for classes and academic department



North Carolina State University – Raleigh, North Carolina

November 2000 – February 2007

Assistant Director, Office of Student Conduct

- Conducted disciplinary conferences and administrative hearings; determined student responsibility and appropriate sanctions
- Reviewed all incoming Campus Appearance Tickets, Administrative Memorandums, and Report of an Academic Integrity Violation Forms to determine the appropriate office and mechanism to be used to resolve the outstanding charges.
- Maintained university's judicial database and trained all new staff (student conduct, university housing, and registration and records) as to its use
- Collected data in accordance with the Clery Act and prepared reports for board of trustees, athletics, and student affairs division
- Served as primary liaison with university housing, conducted trainings for residence hall staff, advised on policy and procedure, and consulted on relevant cases

<u>Appalachian State University</u> - Boone, North Carolina

July 1998 – May 2000

Resident Director, Housing and Residence Life

- Selected, trained, supervised, and evaluated four resident assistants with onsite supervision of security assistants in a 172-bed university residence hall
- Counseled students with personal and academic concerns, as well as served in crisis management duty rotation for an area of 2,200 resident students
- Interpreted and enforced university policies and conducted discipline meetings within the residence life intervention system
- Advised in-hall student government and oversaw programming budget for the residence hall

TEACHING, FACILITATION, AND CURRICULUM DEVELOPMENT EXPERIENCE

Adjunct Faculty, LDR 2116 – Student Leadership Practicum

Concordia University Texas, August 2019 – November 2020

• Instruct practicum course for student members of the university's Student Government and Leadership Association with an instructional focus on shared governance structures and leadership theory

Faculty, Gehring Academy

Association for Student Conduct Administration, Summers 2016 & 2017; Track Coordinator, 2018

- Selected to serve as a faculty member for the Gehring Academy, ASCA's premier educational event
- Develop and deliver curriculum related to the Director and Aspiring Director track, including supervision, compliance, institutional politics, cultural competency, and policy development and interpretation for approximately 70 people over four days



Teaching Assistant, EDHE 7210 - Introduction to Diversity in American Higher Education Ohio University, Spring Semester 2017

- TA for graduate level course, responsible for assisting faculty as well maintaining and updating course Blackboard site
- Responsible for developing and delivering curriculum for four three-hour class sessions, including all readings, assignments, and activities

Facilitation and Planning Teams, Sexual Misconduct Institute

Association for Student Conduct Administration, April 2016 – November 2016

- Served on a six-person team to plan the second ASCA institute, focused on sexual misconduct
- Selected to serve as a facilitator for the Institute, co-presenting the curriculum to a group of 50 participants over four days

Curriculum Writing Team, Sexual Misconduct Institute

Association for Student Conduct Administration, August 2015 – April 2016

- Selected as part of a five-person team to develop and write curriculum for inaugural Association for Student Conduct Association (ASCA) Title IX & Student Conduct Institute
- Designed curriculum to provide theoretical and legal knowledge, and opportunities for participants to practice responses to various sexual misconduct scenarios

Co- Instructor, EDP 369 K – Training Processes in Intergroup Dialogue The University of Texas at Austin, Fall Semester 2011

- Co-taught three credit hour class for 15 undergraduate students designed to provide the foundational skills and knowledge needed to facilitate multicultural group interactions, particularly intergroup dialogues
- Topics included: basic group facilitation skills; social identity and group development; prejudice and stereotyping; privilege and oppression; intergroup communication; conflict intervention; and community building techniques

Cluster Facilitator, Leadershape Institute

McCombs School of Business, The University of Texas at Austin, January 2009

- Served as bridge between learning community and application in the "family cluster" of 10 students
- Facilitated selected components of the curriculum, guided group discussions, challenged assumptions, and encouraged reflection and feedback



CURRENT PROFESSIONAL AFFILIATIONS & INVOLVEMENT

Association for Student Conduct Administration (ASCA)

2001 – present

- Board of Directors *President*, 2020 Present
- Board of Directors President-Elect, 2019 2020
- Board of Directors Director of Education, 2017 2019
- Ohio State Coordinator, 2013 2016
- Awards Committee Chair, 2009- 2012

Student Affairs Administrators in Higher Education (NASPA)

2014 – present

- 2020 Conference Planning Team, Local Arrangements Committee, 2019 2020
- Excellence Awards
 - Co-Chair, 2019 Present
 - Area Award Chair, Violence Education, 2016 20019

PUBLICATIONS

Compton, M.K. (2015). Going All-In to Make Your New Place a Home. In J. Batt (Ed.), Trust the Process: When and How to Move for Your Student Affairs Career. New York, NY: Student Affairs Collective

SELECTED PRESENTATIONS

- Compton, M., Curran, R., and Taylor, B. (2019, February). *Navigating the #MeToo Movement in Student Affairs*. Presentation at the Association for Student Conduct Administration annual conference.
- Compton, M. (2018, January). Student Perspectives on Campus Speech. Presentation at the Ohio College Personnel Association Annual Conference.
- Compton, M. and Meek, T. (2016, July). Working with Your Local Prosecutor. Invited presentation at the Ohio meeting of the International Town Gown Association.
- Compton, M. (2016, October). *Trauma Informed Practice*. Keynote at the Ohio Association for Student Conduct Administration annual drive-in conference.
- Compton, M. and Creeley, W. (2016, February). *Two Perspectives on FIRE's Stand Up for Speech Project*. Presentation at the Association for Student Conduct Administration annual conference.
- Compton M., Alston, R., and Thibodeaux, J. (2016, February). Secondary Trauma, Self-Care, and Student Conduct Practice.

Presentation at the Association for Student Conduct Administration annual conference.



- Compton, M., Cook, J., and Frambo, R. (2015, December). *Campus Administration of Title IX Incidents*. Invited presentation at the Title IX Investigation and Adjudication Seminar sponsored by Ohio Attorney General Mike DeWine.
- Hill, L. and Compton, M. (2010, February). *Violence Prevention: Answering the Call.*Presentation at Southwest Association of College & University Housing Officers annual conference.
- Compton, M. and Olszewska, M. (2004, October). She Said, She Said Same Gender Sexual Assault & Domestic Violence. Invited Presentation at the Training & Technical Institute sponsored by the U.S. Department of Justice.

EDUCATION

Doctor of Philosophy, Higher Education Administration – *Anticipated, May 2022 Ohio University* – Athens, Ohio

Coursework and Comprehensive Exams complete

Master of Arts, Student Development – May 2000 Appalachian State University – Boone, North Carolina

Bachelor of Arts, English (Psychology minor) – *May 1998 Keene State College* – Keene, New Hampshire